DE NOVO ASSEMBLY

SSEMBLY VALIDATION

FEATURES AND FRCURVE

# Bioinformatics Seminars Series: Assembly Validation

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#### ROYAL INSTITUTE OF TECHNOLOGY

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DE NOVO ASSEMBLY

SSEMBLY VALIDATION

Features and FRCurve

### SUMMARY

INTRODUCTION

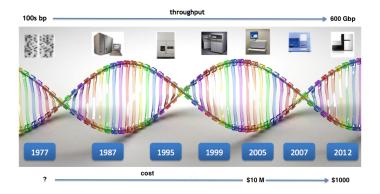
- The need of validation
- **2** De Novo Assembly
- **3** Assembly Validation
- 0 Features and FRCurve
  - Features
  - FRCurve
  - FRC<sup>bam</sup>

De Novo Assembly

Assembly Validation

FEATURES AND FRCURVE

# THE SEQUENCING (R) evolution



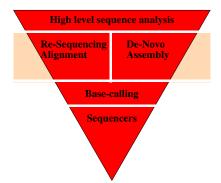
In 2012 Illumina will release a new instrument able to sequence an individual Human genome for **1000\$** 

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### Genome Analysis Pyramid



### Every step needs validation procedures and quality controls.

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### The need of evaluation

#### J.R. MILLER

No algorithm or implementation solves the WGS assembly problem. Each of the various software packages was published with claims about its own superiority.

### RECENT CRITICS

- Beware of mis-assembled genomes (Sanger et al. 2005)
- Limitations of NGS genome sequence assembly (Alkan et al. 2011)
- Assembly: the good, the bad, the ugly (Birney et al. 2011)

#### EVALUATION EFFORTS:

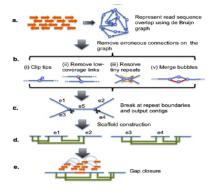
- Assemblathon 1, 2 (maybe 3?)
- GAGE: benchmark dataset

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## DE NOVO ASSEMBLY: THE PROBLEM



#### Solving Strategies

- Hash Based Method
- Overlap Layout Consensus (OLC)
- De-Bruijn Graph (DBG)

#### Why so difficult?

- NP complete;
- Short reads;
- Repeats;

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## AVAILABLE ASSEMBLERS

| Name                   | Algorithm | Author                             | Year               |
|------------------------|-----------|------------------------------------|--------------------|
| Arachne WGA            | OLC       | Batzoglou, S. et al.               | 2002 / 2003        |
| Celera WGA / CABOG     | OLC       | Myers, G. et al.; Miller G. et al. | 2004 / 2008        |
| Minimus (AMOS)         | OLC       | Sommer, D.D. et al.                | 2007               |
| Newbler                | OLC       | 454/Roche                          | 2009               |
| Edena                  | OLC       | Hernandez D., et al.               | 2008               |
| MIRA, miraEST          | OLC       | Chevreux, B.                       | 1998 / 2008        |
| TIGR                   | Greedy    | TIGR                               | 1995 / 2003        |
| Phusion                | Greedy    | Mullikin JC, et al.                | 2003               |
| Phrap                  | Greedy    | Green, P.                          | 2002 / 2003 / 2008 |
| CAP3, PCAP             | Greedy    | Huang, X. et al.                   | 1999 / 2005        |
| Euler                  | DBG       | Pevzner, P. et al.                 | 2001 / 2006        |
| Euler-SR               | DBG       | Chaisson, MJ. et al.               | 2008               |
| Velvet                 | DBG       | Zerbino, D. et al.                 | 2007 / 2009        |
| ALLPATHS               | DBG       | Butler, J. et al.                  | 2008               |
| ABySS                  | DBG       | Simpson, J. et al.                 | 2008 / 2009        |
| SOAPdenovo             | DBG       | Ruiqiang Li, et al.                | 2009               |
| SUTTA                  | B&B       | Narzisi, G, Mishra B.              | 2010               |
| SHARCGS                | Greedy    | Dohm et al.                        | 2007               |
| SSAKE                  | Greedy    | Warren, R. et al.                  | 2007               |
| VCAKE                  | Greedy    | Jeck, W. et al.                    | 2007               |
| QSRA                   | Greedy    | Douglas W. et al.                  | 2009               |
| Sequencher             | -         | Gene Codes Corporation             | 2007               |
| SeqMan NGen            | -         | DNASTAR                            | 2008               |
| Staden gap4 package    | -         | Staden et al.                      | 1991 / 2008        |
| NextGENe               | -         | Softgenetics                       | 2008               |
| CLC Genomics Workbench | -         | CLC bio                            | 2008 / 2009        |
| CodonCode Aligner      | -         | CodonCode Corporation              | 2003 / 2009        |

### SHORT READS ASSEMBLERS

More than 20 published assemblers:

• How can we judge assembly quality?

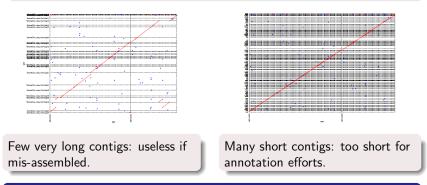
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## N50 and Contig size

Given *M* contigs of size  $c_1, c_2, ..., c_M$ , N50 is defined as the largest number *L* such that the combined length of all contigs of length  $\geq L$  is at least 50% of the total length of all contigs.



#### Problem

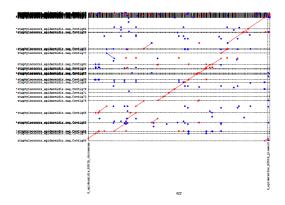
Emphasize only size without capturing quality!!!

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### COUNTING ERRORS



- Typically used for NGS data;
- Count the number of mis-assembled contigs by alignments to the reference genome;
- Problem: error types are not weighted accordingly

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Assembly Validation

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### VISUALIZATION TOOLS

- Hawkeye: Schatz et al., Genome Biology 2007;
- Good for inspection;

#### PROBLEM

Lack of automation!!

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De Novo Assembly

Assembly Validation

Features and FRCurve

### A WISH LIST...

#### IDEAL METRIC

- A single value or function;
- Capture trade-off between quality and contiguity;
- Use long-range data (mate pairs, physical maps, etc.);
- No need for a reference;
- Easy to understand;

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ASSEMBLY VALIDATION

### FEATURES

#### N50, MEAN CONTIG, MAX CONTIG

Emphasize only size, while nothing (or almost nothing) is said about how correct the assemblies are.

#### Philippy et al.

Genome assembly forensics: finding the elusive mis-assembly

#### FEATURES

*amosvalidate* pipeline returns for each contig its "features" – contigs or contig's fragment containing several different features suggest their "mis-assemblies" (i.e., errors).

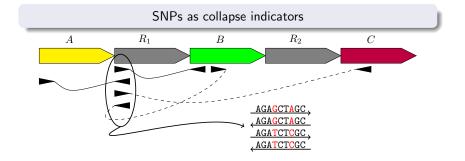


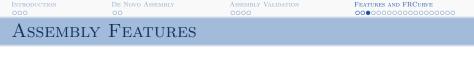
- BREAKPOINT: left over reads partially align;
- OMPRESSION: possible repeat collapse;
- STRETCH: possible repeat expansion;
- LOW\_GOOD\_CVG: normal oriented reads but at low coverage;
- HIGH\_NORMAL\_CVG: normal oriented reads but at high coverage;
- HIGH\_LINKING\_CVG: reads with mate in another scaffold;
- HIGH\_SPANNING\_CVG: mate in another contig;
- S HIGH\_OUTIE\_CVG: incorrectly oriented mates  $(\rightarrow \rightarrow, \leftarrow \rightarrow)$ ;
- HIGH\_SINGLEMATE\_CVG: single reads (mate not present anywhere);
- HIGH\_READ\_COVERAGE: unexpected high local read coverage;
- HIGH\_SNP: SNP with high coverage;
- Big KMER\_COV: Problematic k-mer distribution.

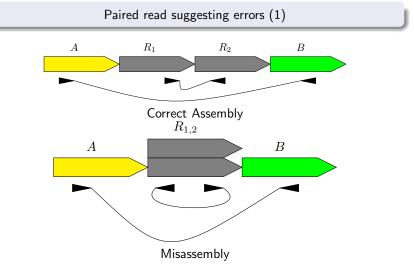
If a contig is found to contain several features, then a likely explanation could be found in the contig's mis-assemblies.

INTRODUCTION DE NOVO ASSEMBLY ASSEMBLY VALIDATION 000 00 0000 Features and FRCurve

## ASSEMBLY FEATURES





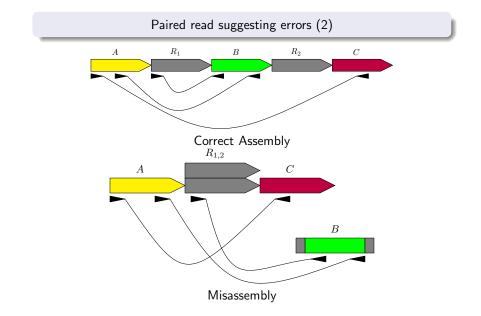


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Features and FRCurve

## Assembly Features

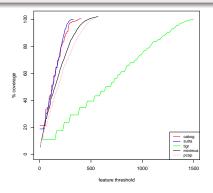


Introduction<br/>COODe Novo Assembly<br/>OAssembly Validation<br/>COOOFeatures and FRCurve<br/>COOOFRCURVE<br/>(NARZISI AND MISHRA, 2011)

How can the feature counting allow us to compare and judge different assemblies/assemblers?



How can the feature counting allow us to compare and judge different assemblies/assemblers?



The Feature Response Curve (FRCurve) characterizes the sensitivity (*coverage*) of the sequence assembler as a function of its discrimination threshold (*number of features*).

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Assembly Validation

Features and FRCurve

### STUDYING THE FEATURES

- A lot of features, are all necessary?
- Some features are deeply correlated
- In general features have high Sensitivity but low Specificity
- Are features "more informative" than standard measures?

### PCA AND ICA

Use multivariate techniques to understand how features are correlated (PCA) and what are the most important (independent) ones (ICA).

#### Experiments

20 genomes, 10 assemblers, real and simulated data: more than 500 assemblies

De Novo Assembly

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Features and FRCurve

## PCA AND ICA

### SANGER/ILLUMINA

- Sanger
  - 20 real projects assembled with 5 different assemblers
  - 20 simulated coverages assembled with 4 different assemblers
- Illumina:
  - 5 real projects assembled with 5 different assemblers
  - 20 simulated genomes assembled with 4 different assemblers
  - PCA and ICA on 11 features plus N50 and NUM\_CTG
  - Easy work with Sanger... a nightmare with Illumina:
    - afg/bank is required to compute features
    - some tool perform scaffolding, others not
    - no standard datasets, assemblers highly dependent on parameters

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Features and FRCurve

## PCA: REAL DATASETS

|                      | L     | ong Rea | ds    | Short Reads |       |       |
|----------------------|-------|---------|-------|-------------|-------|-------|
| FEATURES             | PC1   | PC2     | PC3   | PC1         | PC2   | PC3   |
| BREAKPOINT           | 0.29  | -0.14   | -0.21 | -           | -     | -     |
| COMPRESSION          | 0.32  | 0.22    | 0.35  | -0.28       | -0.15 | 0.24  |
| STRETCH              | -0.06 | 0.08    | 0.27  | -0.3        | -0.11 | 0.32  |
| HIGH_NORMAL_CVG      | -0.1  | 0.4     | 0.21  | 0.12        | 0.44  | -0.09 |
| HIGH_OUTIE_CVG       | -0.07 | 0.56    | -0.09 | -0.32       | -0.33 | -0.29 |
| HIGH_READ_COVERAGE   | 0.36  | 0.1     | -0.13 | -0.26       | -0.3  | -0.41 |
| HIGH_SINGLEMATE_CVG  | -0.01 | 0.27    | -0.53 | 0.23        | -0.26 | -0.37 |
| HIGH_SNP             | 0.05  | -0.23   | -0.13 | -0.19       | -0.05 | -0.38 |
| HIGH_SPANNING_CVG    | 0.28  | 0.38    | 0.31  | -0.07       | -0.38 | 0.12  |
| KMER_COV             | -0.03 | 0.37    | -0.48 | -0.08       | -0.22 | 0.47  |
| LOW_GOOD_CVG         | 0.5   | -0.04   | -0.02 | 0.41        | -0.32 | 0.09  |
| N50                  | -0.23 | 0.09    | 0.2   | -0.48       | 0.08  | 0.1   |
| NUM_CONTG            | 0.5   | -0.03   | -0.02 | 0.36        | -0.41 | 0.12  |
| cumulative variation | 27%   | 44%     | 55%   | 26%         | 50%   | 63%   |

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## PCA: SIMULATED DATASETS

|                     | L     | ong Rea | ds    | 5     | Short Reads |        |  |
|---------------------|-------|---------|-------|-------|-------------|--------|--|
| FEATURES            | PC1   | PC2     | PC3   | PC1   | PC2         | PC3    |  |
| BREAKPOINT          | 0.26  | -0.38   | -0.04 | -     | -           | -      |  |
| COMPRESSION         | -     | -       | -     | 0.32  | 0.20        | 0.33   |  |
| STRETCH             | 0.22  | 0.42    | 0.12  | 0.2   | 0.37        | 0.26   |  |
| HIGH_NORMAL_CVG     | 0.02  | 0.2     | -0.44 | 0.1   | 0.13        | -0.62  |  |
| HIGH_OUTIE_CVG      | 0.12  | 0.46    | 0.01  | 0.19  | 0.15        | -0.536 |  |
| HIGH_READ_COVERAGE  | 0.36  | 0.21    | -0.19 | 0.35  | 0.09        | -0.01  |  |
| HIGH_SINGLEMATE_CVG | 0.04  | -0.07   | -0.76 | -0.11 | -0.5        | 0.15   |  |
| HIGH_SNP            | 0.3   | 0.02    | -0.18 | 0.37  | 0           | -0.06  |  |
| HIGH_SPANNING_CVG   | 0.41  | 0.04    | 0     | 0.36  | -0.24       | -0.16  |  |
| KMER_COV            | 0.24  | 0.37    | 0.16  | 0.31  | 0.28        | 0.28   |  |
| LOW_GOOD_CVG        | 0.41  | -0.28   | 0.04  | 0.34  | -0.35       | 0.09   |  |
| N50                 | -0.27 | 0.01    | -0.3  | -0.19 | 0.25        | 0.02   |  |
| NUM_CONTG           | 0.39  | -0.31   | 0.02  | 0.3   | -0.42       | 0.03   |  |
| cumulativevariation | 36%   | 59%     | 70%   | 43%   | 62%         | 75%    |  |

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Assembly Validation

Features and FRCurve



COMPRESSION, HIGH\_OUTIE\_CVG, HIGH\_SINGLEMATE\_CVG, HIGH\_READ\_COVERAGE, KMER\_COV, LOW\_GOOD\_CVG

ILLUMINA (REAL) ICA-FEATURES

COMPRESSION, LOW\_GOOD\_CVG, KMER\_COV, HIGH\_SPANNING\_CVG, HIGH\_OUTIE\_CVG, CE\_STRETCH

Illumina (Simulated) ICA-Features

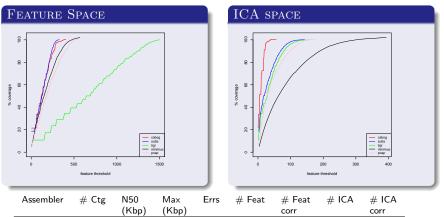
HIGH\_READ\_COVERAGE, HIGH\_SNP, HIGH\_NORMAL\_CVG, HIGH\_SPANNING\_CVG, KMER\_COV, CE\_STRETCH

DE NOVO ASSEMBLY

Assembly Validation

FEATURES AND FRCURVE

### LONG REAL READS: BRUCELLA SUIS



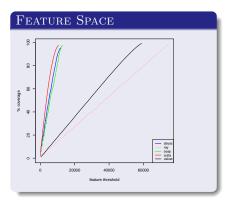
|         | //8 |       |       |    | //   | //   | //  | //   |  |
|---------|-----|-------|-------|----|------|------|-----|------|--|
|         |     | (Kbp) | (Kbp) |    |      | corr |     | corr |  |
| cabog   | 41  | 265   | 711   | 24 | 375  | 24   | 45  | 18   |  |
| minimus | 205 | 31    | 89    | 44 | 382  | 37   | 208 | 36   |  |
| pcap    | 91  | 69    | 194   | 50 | 455  | 57   | 94  | 41   |  |
| sutta   | 72  | 93    | 621   | 45 | 261  | 23   | 75  | 22   |  |
| tigr    | 69  | 111   | 357   | 31 | 1281 | 24   | 134 | 20   |  |
|         |     |       |       |    |      |      |     |      |  |

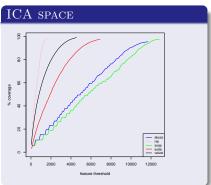
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## Short real reads: E. Coli $(130 \times)$





| Assembler | # Ctg | N50   | Max   | Errs | # Feat | # Feat | # ICA | # ICA |
|-----------|-------|-------|-------|------|--------|--------|-------|-------|
|           |       | (Kbp) | (Kbp) |      |        | corr   |       | corr  |
| abyss     | 113   | 97    | 268   | 11   | 11804  | 119    | 11475 | 105   |
| ray       | 194   | 58    | 140   | 17   | 74565  | 52     | 1701  | 30    |
| soap      | 125   | 109   | 267   | 62   | 12254  | 174    | 12053 | 140   |
| sutta     | 690   | 11    | 41    | 56   | 7949   | 140    | 5528  | 114   |
| velvet    | 65    | 142   | 428   | 136  | 2156   | 26     | 131   | 2     |

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ASSEMBLY VALIDATION

FEATURES AND FRCURVE

## PCA AND ICA RESULTS

#### PCA ANALYSIS

- Feature space redundant.
- Lack of precise read simulators.
- N50 bad quality predictor!!

### ICA ANALYSIS

- Possibility to reduce feature space.
- Improved accuracy (less false positive).

### PROBLEMS

- FRC included in AMOS package:
  - based on amosvalidate package;
  - needs a bank, or afg output file
  - tool compatible with few (maybe 2) assemblers
- Features designed for Sanger data (*i.e.* leftovers);
- Features have high Sensitivity but low Specificity

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Assembly Validation

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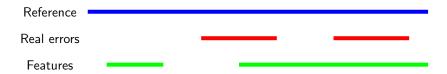
## SENSITIVITY AND SPECIFICITY

#### SENSITIVITY

Sensitivity = True Positives True Positives+False Negatives

#### Specificity

 $Specificity = \frac{True \ Negatives}{True \ Negatives + False \ Positives}$ 



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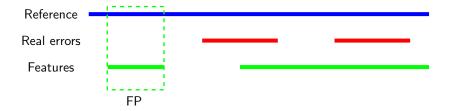
Assembly Validation

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## SENSITIVITY AND SPECIFICITY

SENSITIVITY

$$Specificity = \frac{True \ Negatives}{True \ Negatives + False \ Positives}$$



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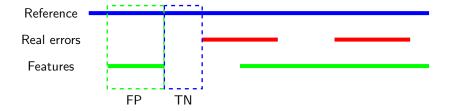
Assembly Validation

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## SENSITIVITY AND SPECIFICITY

SENSITIVITY

$$Specificity = \frac{True \ Negatives}{True \ Negatives + False \ Positives}$$



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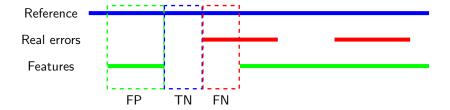
Assembly Validation

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## SENSITIVITY AND SPECIFICITY

SENSITIVITY

$$Specificity = \frac{True \ Negatives}{True \ Negatives + False \ Positives}$$



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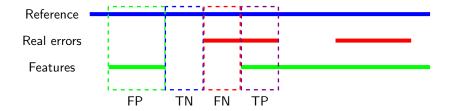
Assembly Validation

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## SENSITIVITY AND SPECIFICITY

SENSITIVITY

$$Specificity = \frac{True \ Negatives}{True \ Negatives + False \ Positives}$$



| INTRODUCTION | De Novo Assembly | Assembly Validation | Features and FRCurve                    |
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| -            |                  |                     |   |

### F'EATURES FROM ALIGNMENT

- NGS-based de novo assembler do not output layout
- Alignment only way to obtain an approximate layout:
  - alignment is a typical post-assembly procedure;
  - allows to design NGS-specific features (PE, MP)

### FRC<sup>bam</sup>

Read alignments (SAM/BAM format) and computes most important (ICA-independent) features:

- LOW\_COV\_AREA and HIGH\_COV\_AREA
- LOW\_NORMAL\_AREA and HIGH\_NORMAL\_AREA
- HIGH\_SPANNING\_AREA
- HIGH\_SINGLE\_AREA
- HIGH\_OUTIE\_AREA
- COMPRESSION and EXPANSION (CE statistics, Zimin et al.)

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ASSEMBLY VALIDATION

Features and FRCurve

## How to test?

- Need of data and references;
  - Which datasets can we use?
- Relationship between *amos*-based features and *alignment*-based features:
  - can we trust *alignment*-based features?
  - need of AMOS-compatible assemblers
- Test *alignment*-based features on new data:
  - Sensitivity/Specificity
  - Comparison with alignment based validation



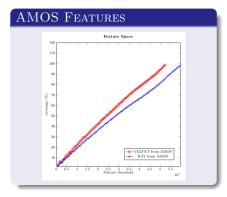


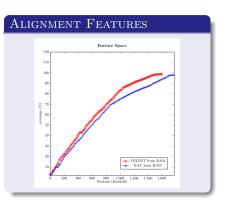
De Novo Assembly

Assembly Validation

FEATURES AND FRCURVE

## GAGE: STAPHYLOCOCCUS AUREUS





| # Ctg | g N50 |                  | ERF  | RORS   | AM  | 1OS   | BA   | M   |
|-------|-------|------------------|--|--|---|---|--|---|
|       | (Kbp  | )   inser        | trans  | breakpoints  | sens  | spec  | sens   | spec  |
| 303   | 21.6  | 295              | 288  | 830  | 0.91  | 0.36  | 0.93   | 0.56  |
| 438   | 10.9  | 270              | 441  | 1106   | 0.99  | 0.22  | 0.90   | 0.47  |
| -     |       |                  |  | % AMOS feat  |   |   |  |   |
|       | -     |                  | -  |  |   |   |  |   |
|       | 303   | (Kbp<br>303 21.6 | (Kbp) inser   303 21.6 295   438 10.9 270    % Real Ray 2.55 | (Kbp) inser trans   303 21.6 295 288   438 10.9 270 441   % Real Errors Ray 2.5% | (Kbp) inser trans breakpoints   303 21.6 295 288 830   438 10.9 270 441 1106   % Real Errors % AMOS feat   Ray 2.5% 65.7% | (Kbp) inser trans breakpoints sens   303 21.6 295 288 830 0.91   438 10.9 270 441 1106 0.99    % Real Errors % AMOS feat % BA   Ray 2.5% 65.7% 45 | (Kbp) inser trans breakpoints sens spec   303 21.6 295 288 830 0.91 0.36   438 10.9 270 441 1106 0.99 0.22   % Real Errors % AMOS feat % BAM feat   Ray 2.5% 65.7% 45% | (Kbp) inser trans breakpoints sens spec sens   303 21.6 295 288 830 0.91 0.36 0.93   438 10.9 270 441 1106 0.99 0.22 0.90   % Real Errors % AMOS feat % BAM feat   Ray 2.5% 65.7% 45% |

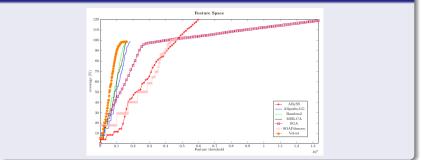
DE NOVO ASSEMBLY

Assembly Validation

FEATURES AND FRCURVE

## GAGE: STAPHYLOCOCCUS AUREUS

### ALIGNMENT FEATURES



|          |       |       | ERRORS BAN   |       |           |              |      |      |
|----------|-------|-------|--------------|-------|-----------|--------------|------|------|
|          | # Ctg | N50   | Misjoin &    | Chaff | Dupl. Ref | SNPs &       | sens | spec |
|          |       | (Kbp) | Indels $> 5$ | (%)   | (%)       | Indels $< 5$ |      |      |
| ABySS    | 302   | 29.2  | 19 (10+9)    | 66.00 | 23.30     | 278          | 0.91 | 0.32 |
| ALLPATHS | 60    | 96.7  | 20 (8+12)    | 0.03  | 0.03      | 83           | 0.88 | 0.52 |
| BAMBUS2  | 109   | 50.2  | 190 (26+164) | 0     | 0.01      | 84           | 0.90 | 0.53 |
| MSR-CA   | 94    | 59.2  | 34 (24+10)   | 0.02  | 0.83      | 214          | 0.87 | 0.56 |
| SGA      | 252   | 4.0   | 10 (8+2)     | 21.38 | 0.03      | 34           | 0.95 | 0.20 |
| SOAP     | 107   | 288.2 | 65 (34+31)   | 0.35  | 1.44      | 271          | 0.96 | 0.22 |
| Velvet   | 162   | 48.4  | 42 (28+14)   | 0.45  | 0.10      | 223          | 0.88 | 0.61 |

| INTRODUCTION | De Novo Assembly | Assembly Validation | Features and FRCurve                    |
|--------------|------------------|---------------------|---|
| 000          | 00               | 0000                | 000000000000000000000000000000000000000 |
| CONCLU       | SIONS            |                     |   |

### FEATURES AND FRCURVE

- Features important instrument for assembly/assemblers evaluation.
- FRCurve useful instrument to gauge assembler performances:
  - one "simple" function;
  - reference free;
  - easy to improve

### FRC<sup>bam</sup>

- overcomes FRCurve/AMOS limits;
- possibility to develop NGS-based features;

### WHAT'S NEXT?

- improve features sensitivity and specificity;
- design application specific features (Fosmid pools, metagenomics, *etc.*);
- (sequencing) technology agnostic features (physical maps);

DE NOVO ASSEMBLY

ASSEMBLY VALIDATION

FEATURES AND FRCURVE

### THAT'S ALL FOLKS

#### Many Thanks to

- Prof. Lars Arvestad
- Prof. Bud Mishra
- PhD Giuseppe Narzisi

#### THANKS FOR THE ATTENTION!